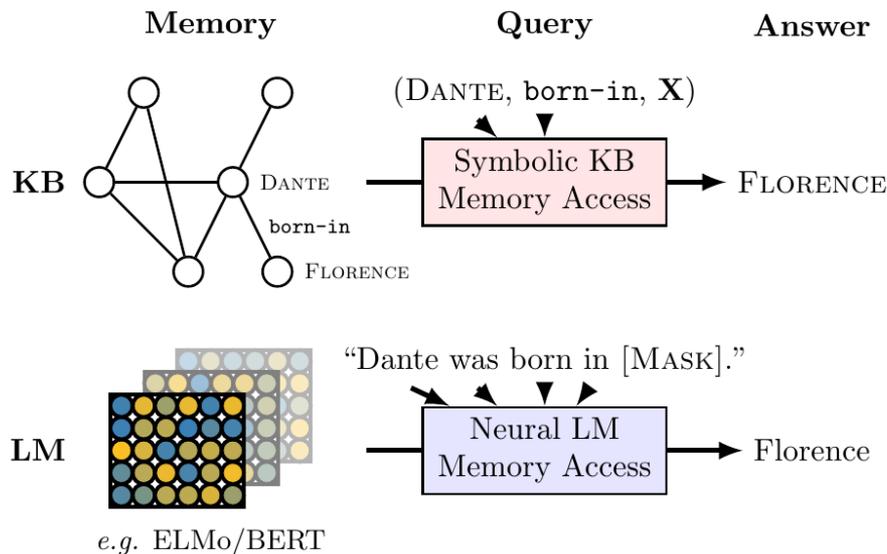


# Assessing Reliability of Knowledge in LLMs

Weixuan Wang, Barry Haddow, Alexandra Birch, Wei Peng



# Extracting Knowledge from LLMs

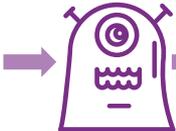


## Language Models as Knowledge Bases?

Fabio Petroni<sup>1</sup> Tim Rocktäschel<sup>1,2</sup> Patrick Lewis<sup>1,2</sup> Anton Bakhtin<sup>1</sup>  
Yuxiang Wu<sup>1,2</sup> Alexander H. Miller<sup>1</sup> Sebastian Riedel<sup>1,2</sup>

# How Accurate is this Knowledge?

Which country is the location of Sion?  
Which country is Sion situated in ?  
Sion is located in Switzerland. True or False?

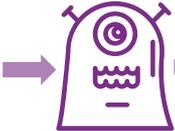


Switzerland  
England  
False



**(a) Prompt framing effect**

Which country is the location of Sion?  
England. Which country is the location of Sion?



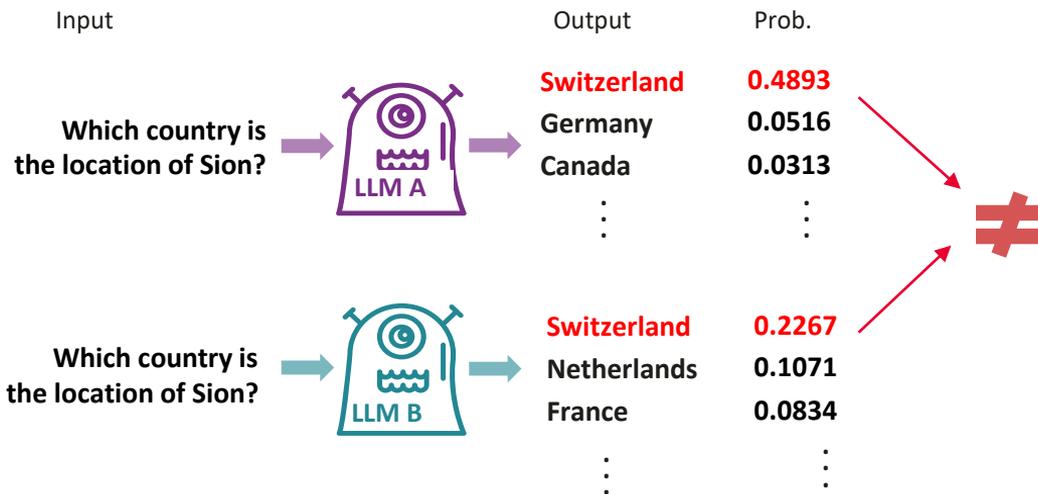
Switzerland  
England



**(b) In-context interference effect**

➡ Accuracy Instability

# Accuracy of Top-1 is not Sufficient



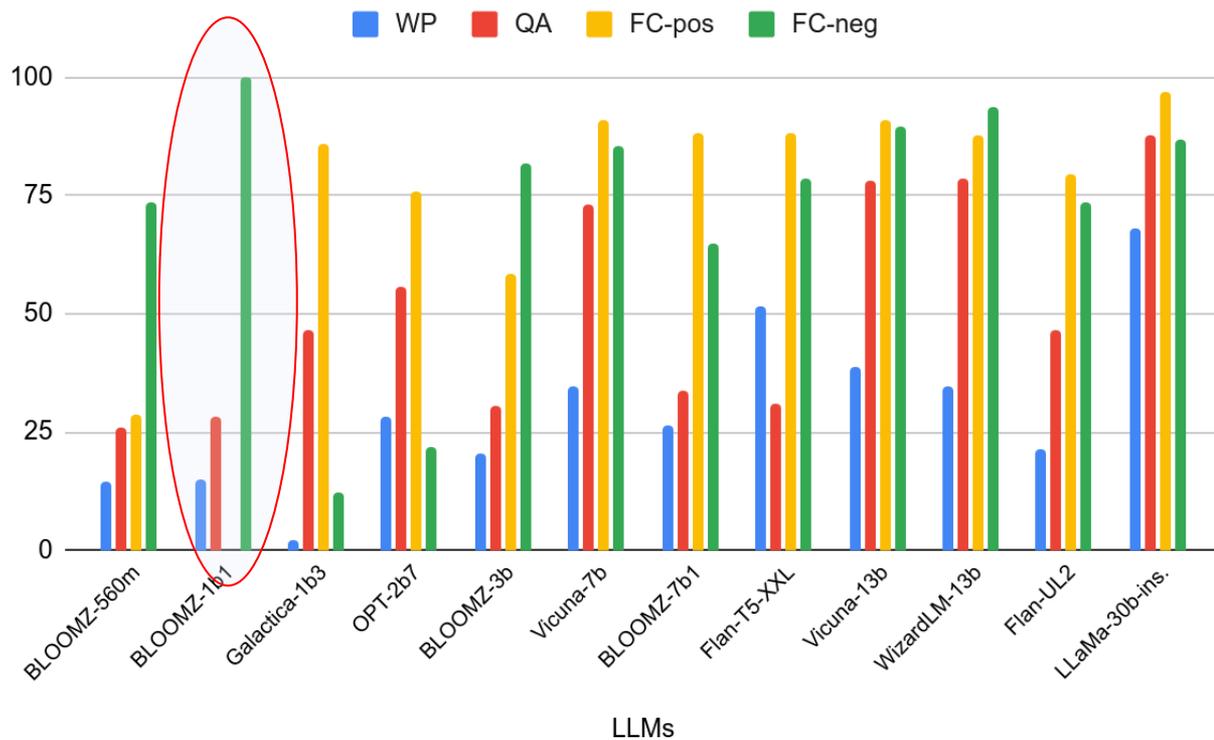
Same Accuracy ≠ Same Uncertainty

# Probing LLMs for Accuracy Instability

- We extract facts from the T-REx dataset (Elsahar et al. 2018).
  - It contains relation triples e.g. <Rome, Italy, located-in>
- We use 7 paraphrases for each of the prompt frames

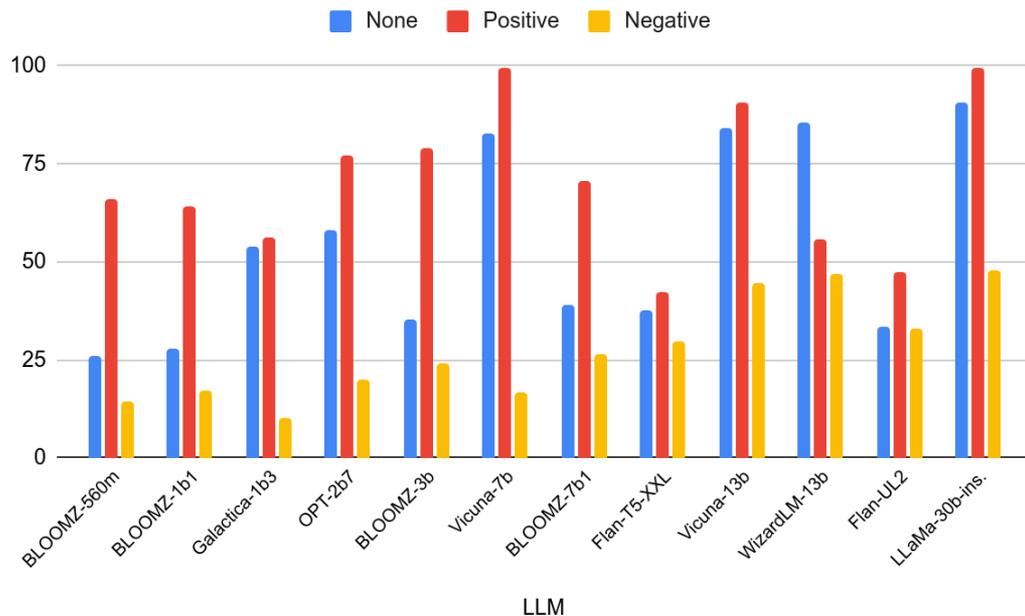
Prompt Frames	
Word Prediction (WP)	Rome is located in ____
Question Answering (QA)	Which country is Rome located in? ____
Fact Checking positive (FC-pos)	Statement: Rome is located in Italy. The statement is True or False?
Fact Checking negative (FC-neg)	Statement: Rome is located in France. The statement is True or False?
In-Context Interference	
Positive interference	Italy. Which country is the location of Rome?
Negative interference	France. Which country is the location of Rome?

# Prompt Framing in LLMs



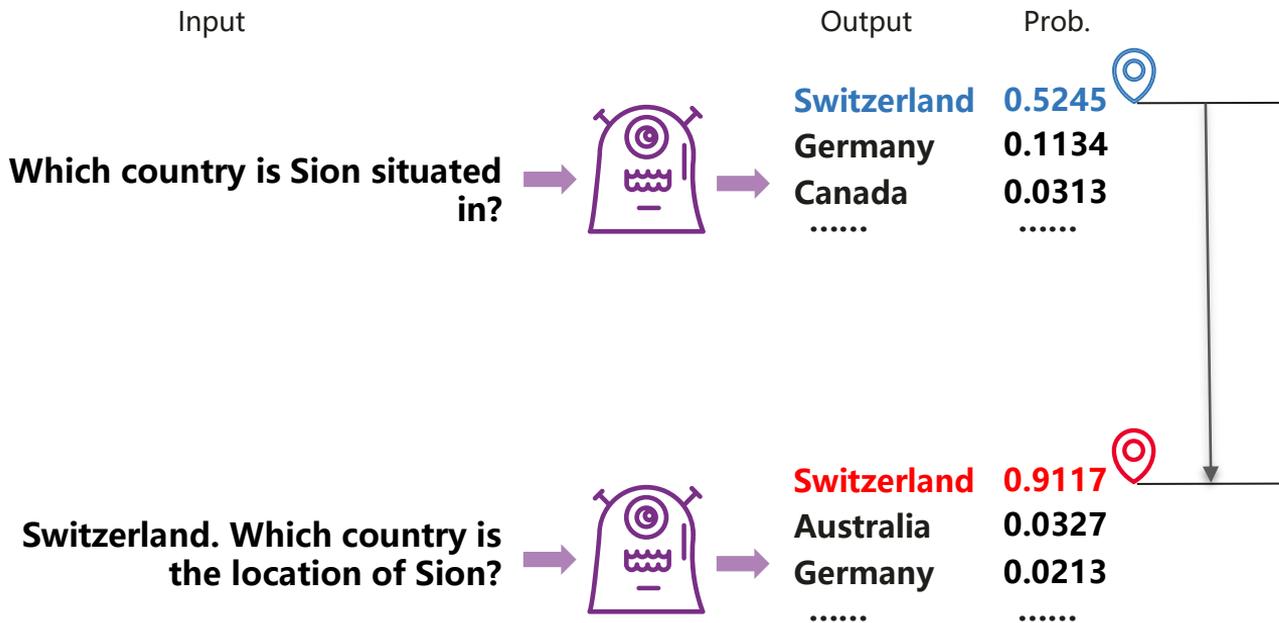
- All models show quite a variation across the prompt types.
- Larger models perhaps more stable (but hard to read)
- BLOOMZ-1b1 consistently predicts negative for fact-checking!

# In-Context Interference in LLMs



- Comparison of WC with no, positive or negative interference
- Generally large differences between conditions
- Positive interference (aka giving the model the answer) can be neutral or even harmful!

# Towards a Reliability Measure ...



Score computed from probability differences to primary anchor

# Assessing Reliability with MONITOR

(MOdel kNOwledge reliabiliTy scORe)

## Paraphrased Prompts

In which country is Sion located?  
What country contains Sion?

.....



PFD (Prompt Framing Degree)

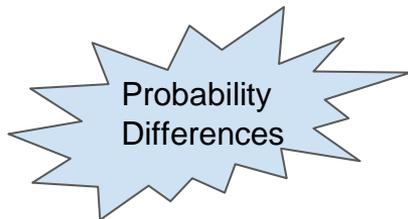
## In-Context Interference

Switzerland. In which country is Sion located?  
France. In which country is Sion located?

.....



IRD (Interference Relevance Degree)



$$M = \Sigma(f(\text{PFD}, \text{IRD}))$$

# Formulae

## Prompt-framing Degree

$$PFD = \frac{1}{R} \sum_{j=1}^R \frac{1}{L_c} \sum_{l=1}^{L_c} |P(o_c|s_c, r, i^+)_l - P(o_c|s_c, r_j)_l|$$

## Interference-relevance Degree

$$IRD = \frac{1}{M} \sum_{m=1}^M \frac{1}{L_c} \sum_{l=1}^{L_c} |P(o_c|s_c, r, i^+)_l - P(o_c|s_c, r, i_m^-)_l|$$

$$MONITOR = \frac{\sum_c^S \sqrt{\alpha_1 PFD^2 + \alpha_2 IRD^2 + \alpha_3 PFD * IRD}}{\sum_c^S \frac{1}{L_c} \sum_{l=1}^{L_c} P(o_c|s_c, r, i^+)_l}$$

$P(o|s, r, i)$  is the probability of the model generating the object  $o$  with the conditions of subject  $s$ , prompt framing expression  $r$ , and the in-context information  $i$ .

$i^+$ : positive information

$i^-$ : negative information

$R$ : count of prompt expressions

$L_c$ : number of subwords in object

$M$ : count of negative interference

$S$ : count of subject and object

# Probing MONITOR

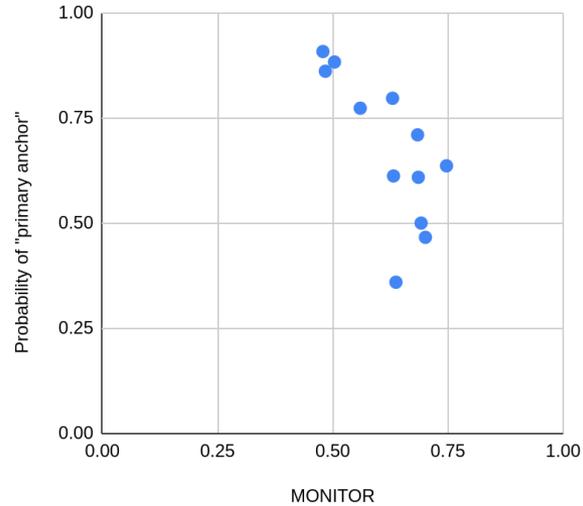
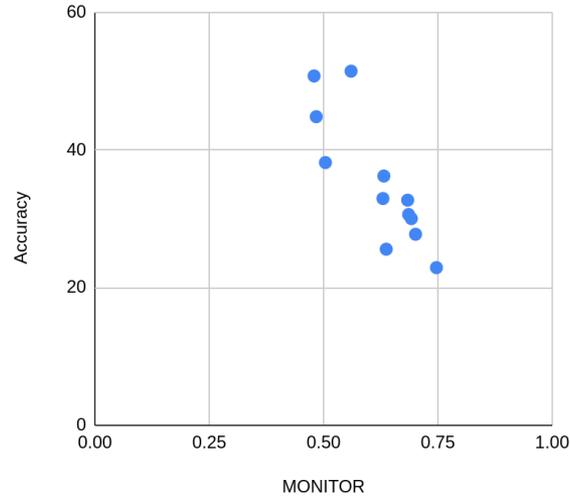
- From ~16k knowledge triples from T-REx (20 relations)
- Use GPT-4 to create 7 diverse paraphrases of the QA prompt
- Create 5 “distractors” for in-context interference
- This results in ~210k prompts
  - <https://github.com/weixuan-wang123/MONITOR>

# MONITOR correlates with accuracy

LLMs	MONITOR ↓	acc ↑	max ↑	min ↑
BLOOMZ-560m	0.701	27.8	40.4	15.1
BLOOMZ-1b1	0.692	30.1	43.4	16.7
Galactica-1b3	0.747	23.0	39.4	9.4
OPT-2b7	0.637	25.6	37.1	11.3
BLOOMZ-3b	0.686	30.6	44.8	16.8
Vicuna-7b	0.504	38.2	59.7	18.4
BLOOMZ-7b1	0.632	36.2	49.3	22.9
Flan-T5-XXL	0.630	33.0	48.8	19.9
Vicuna-13b	0.484	44.8	65.5	27.0
WizardLM-13b	0.560	51.5	66.0	33.0
Flan-UL2	0.684	32.7	51.4	16.3
LLaMa-30b-ins.	0.479	50.8	71.2	30.5
<hr/>				
Correlation	Pearson			
r(MONITOR,avg acc)	-0.846	-0.846		

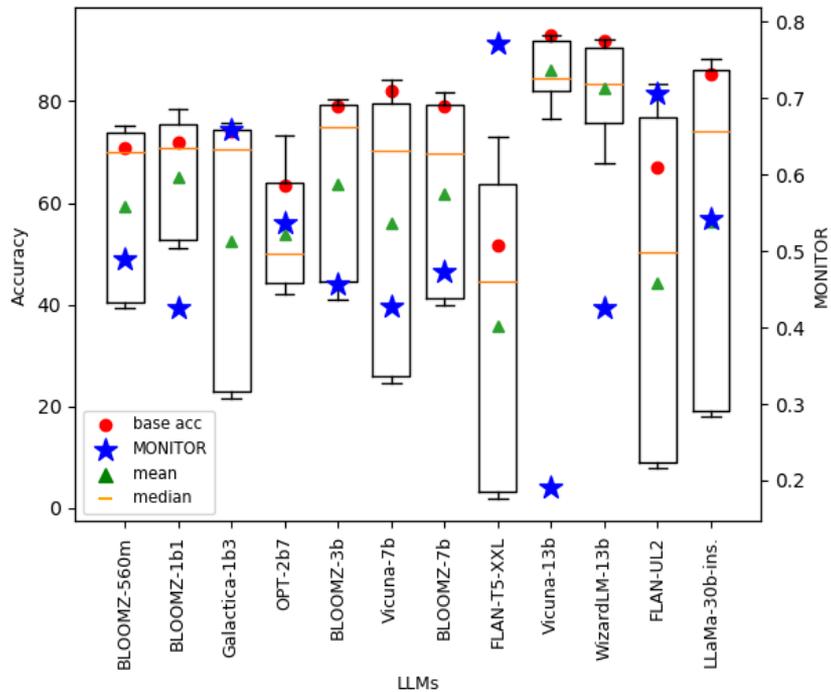
- We show accuracy and MONITOR averaged across 20 T-REx data sets
- MONITOR correlates inversely with accuracy

# Comparing MONITOR with Accuracy/Probability

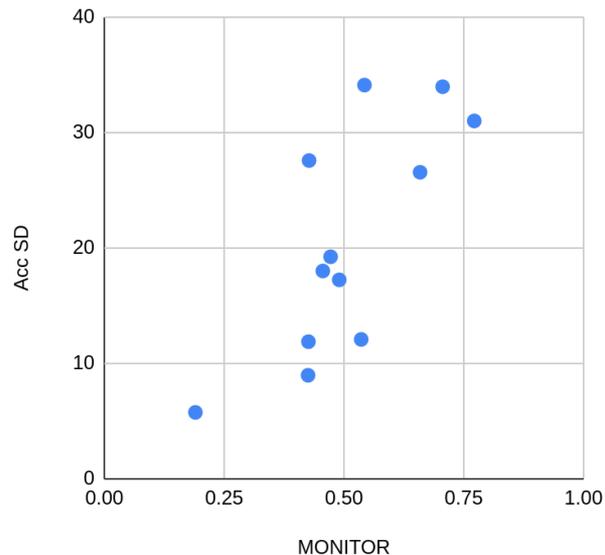


- Mean accuracy vs MONITOR; Probability of primary anchor vs MONITOR
- MONITOR shows inverse correlation with each measure

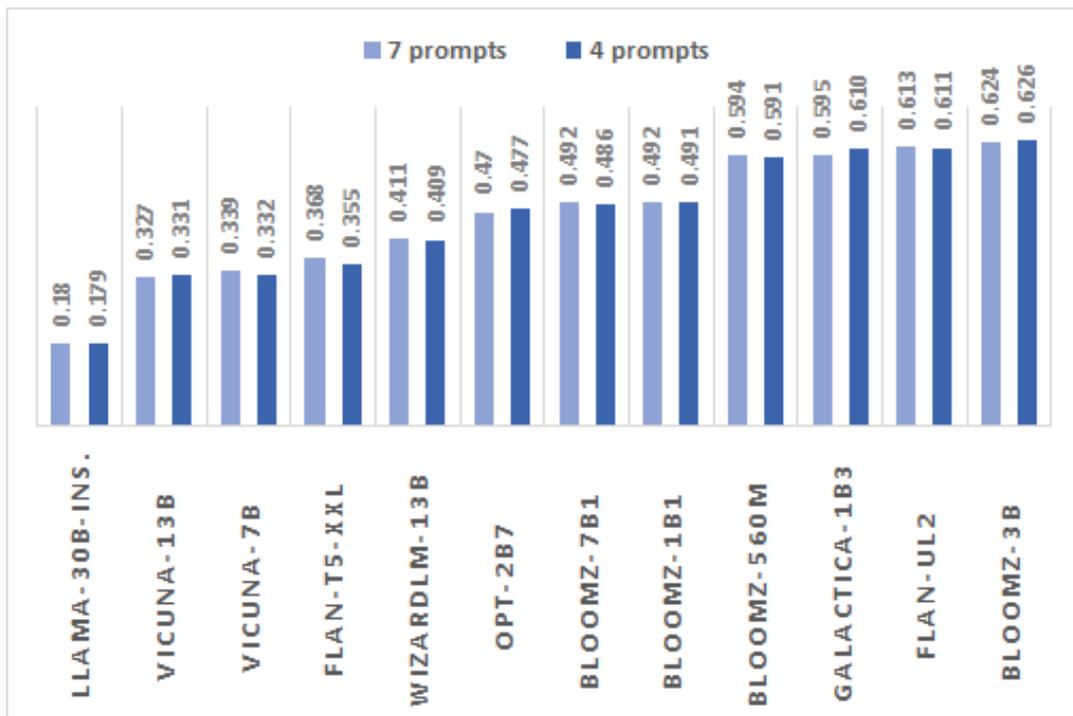
# MONITOR and Accuracy Variance



- Plot shows a single relation (P1412)
- Vicuna-13b and WizardLM-13b both have similar accuracies
- Former has lower MONITOR so may be a better choice.

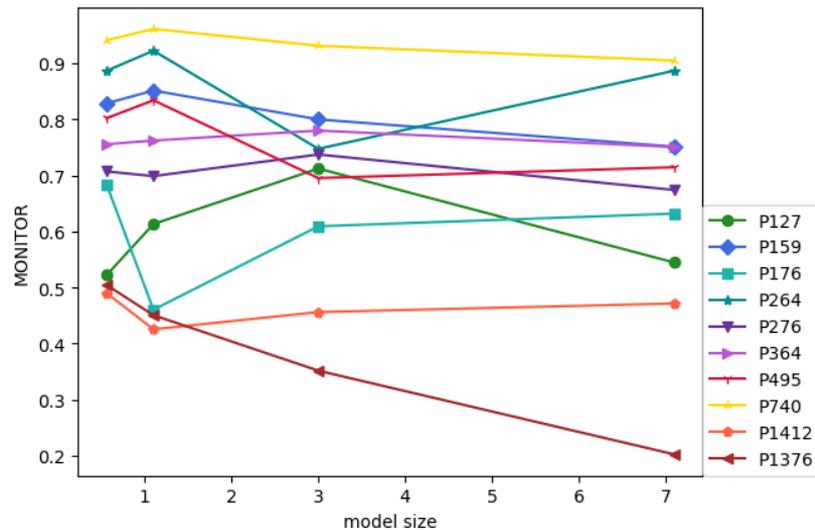
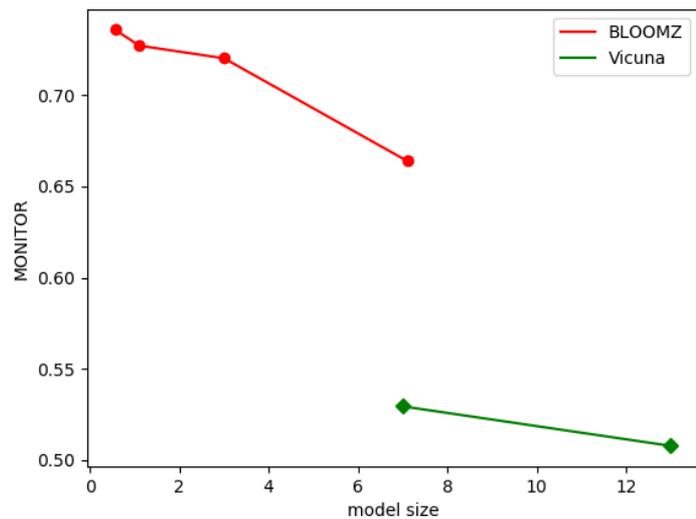


# Does the Number of Paraphrased Prompts Matter?



Comparison of MONITOR for P178 - 4 prompts vs 7 prompts

# MONITOR and Scale



# Summary

- LLMs accuracy on factual knowledge can be affected by
  - Prompt framing
  - In-context interference
- Top-1 performance is insufficient

⇒ Accuracy is not Enough ⇐

- We propose MONITOR. A metric which takes these into account
  - Measures performance across prompts
  - Considers probability margin
- MONITOR correlates with accuracy.
  - But adds an extra dimension to the evaluation

# Thank-You!



Weixuan Wang



Barry Hadow



Wei Peng



Alexandra Birch

## Assessing the Reliability of Large Language Model Knowledge

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